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Attorney Docket: SURR.86
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: NATAN

SERIAL NO.: 10/056,808

FILED: JANUARY 25, 2002

TITLE: SURFACE-ENHANCED SPECTROSCOPY-
ACTIVE SANDWICH NANOPARTICLES

EXAMINER: Snay

S.S.Oa

ART UNIT: 1743

CONFIRMATION NO.: 9379

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant calls the Examiner's attention to the patents and publications listed on the attached Form PTO-1449, copies enclosed, which may be material to examination of the above identified application.

TIME OF TRANSMITTAL

This Information Disclosure Statement is being filed under 37 CFR § 1.97(b). This Statement is filed within at least one of the following time periods:

- (a) within three months of the filing date of a national application (other than a CPA under 37 CFR § 1.53(d));
- (b) within three months of the date of entry of the national stage as set forth in 37 CFR § 1.491 in an international application;
- (c) before the mailing of a first Office Action of the merits; or
- (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR § 1.114.

No fee is believed to be due in this instance. However, the undersigned hereby authorizes the charging of any fees created by the filing of this document to Deposit Account No. 19-5117.

37 CFR 1.8

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231 on 3/5/02

Signature:

Name: Tasha L. Cove

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. This listed patents and publications are believed of interest herein and consideration and citation of as interest by Examiner is respectfully requested.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read 'Darla G. Yoerg', is written over a horizontal line.

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FORM PTO-1449

U.S. DEPARTMENT OF
COMMERCE PATENT
AND TRADEMARK
OFFICE

List of Information Cited by Applicant

Page 1 of 1

ATTY. DOCKET NO.

SURR.86

SERIAL NO.

10/056,808

APPLICANT

NATAN

FILING DATE

JANUARY 25, 2002

GROUP

U.S. PATENT DOCUMENTS

| EXAM. INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLS | SUB- CLS | FILE DATE |
|------------------|----|--------------------|----------|-----------------|-----|-------------|--------------|
| | AA | 09/378,259 | 8/20/99 | Dietz et al. | | | |
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FOREIGN PATENT DOCUMENTS

| EXAM. INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLS | SUB CLS | TRANS ? |
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| | AM | Brazdil & Yeager, <i>J. Phys. Chem.</i> (1981) 85: 995-1004 |
| | AN | Byahut & Furtak, <i>Langmuir</i> (1991) 7: 508-513 |
| | AO | Dhere et al., <i>Ultramicroscopy</i> (1985) 18: 415-418 |
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| | AU | Michaels et al., <i>J. Phys. Chem. B</i> (2000) 104: 11965-11971 |
| | AV | Michaels et al., <i>J. Am. Chem. Soc.</i> (1999) 121: 9932-9939 |
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| | AZ | Ung et al., <i>Langmuir</i> (1998) 14: 3740-3748 |

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.